

# BNY Mellon Small Cap Value Fund

Fact Sheet | December 31, 2023

Class A **RUDAX**  
Class I **STSVX**  
Class Y **BOSYX**

**Goal:** The fund seeks long-term growth of capital.

Class	CUSIP
Class A	05588D308
Class I	05586X884
Class Y	05588D506

**Assets for the Fund**  
\$170,906,584

**Holdings<sup>3</sup>**  
137

**Dividend Frequency**  
Annually

**Morningstar Category**  
Small Value

**Lipper Category**  
Small-Cap Core Funds

**Sub-adviser**  
Newton Investment  
Management North  
America, LLC

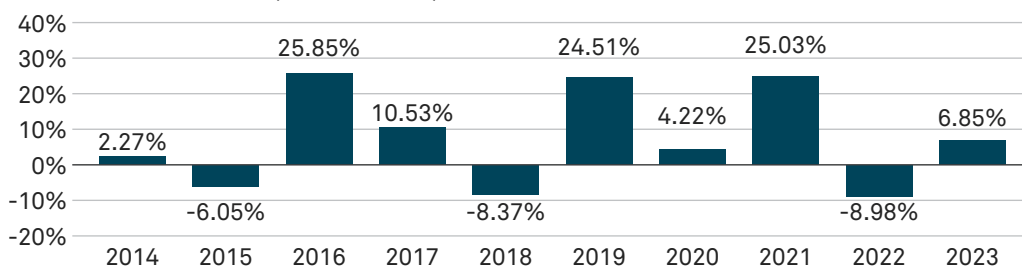
Total Expenses (%)		
Class	Gross <sup>†</sup>	Net <sup>††</sup>
Class A	1.35	1.35
Class I	1.03	1.03
Class Y	0.99	0.99

## Average Annual Total Returns (as of 12/31/23)<sup>1</sup>

Class/Inception Date	3 Month	YTD	1 Year	3 Year	5 Year	10 Year
Class A (NAV) 08/01/16	9.06%	6.50%	6.50%	6.38%	9.20%	6.55%
Class A (5.75% maximum load)	2.78%	0.35%	0.35%	4.29%	7.92%	5.92%
Class I (NAV) 02/01/00	9.18%	6.85%	6.85%	6.74%	9.55%	6.81%
Class Y (NAV) 08/01/16	9.19%	6.89%	6.89%	6.77%	9.61%	6.84%
Russell 2000® Value Index <sup>2</sup>	15.26%	14.65%	14.65%	7.94%	10.00%	6.76%

The performance data quoted represents past performance, which is no guarantee of future results. Share price and investment return fluctuate, and an investor's shares may be worth more or less than original cost upon redemption. Current performance may be lower or higher than the performance quoted. Data assumes the reinvestment of dividends and capital gains, if any. Performance for periods less than 1 year is not annualized. Go to [im.bnymellon.com](http://im.bnymellon.com) for the fund's most recent month-end returns.

## Historical Performance (Class I @ NAV)



<sup>†</sup>Gross expenses is the total annual operating expense ratio for the fund, before any fee waivers or expense reimbursements. <sup>††</sup>Net Expenses is the total annual operating expense ratio for the fund, after any applicable fee waivers or expense reimbursements. The Net Expenses is the actual fund expense ratio applicable to investors. Not all classes of shares may be available to all investors or through all broker-dealer platforms.

<sup>1</sup>The total return performance figures for Class A, Class C and Class Y shares of the fund represent the performance of the fund's Class I shares for periods prior to 8/1/16, the inception date for Class A, Class C and Class Y shares, and the performance of Class A, Class C and Class Y, respectively, from that inception date. Performance reflects the applicable class's distribution/servicing fees since the inception date. Investors should consider, when deciding whether to purchase a particular class of shares, the investment amount, class restrictions, anticipated holding period and other relevant factors. <sup>2</sup>Source: FactSet. The **Russell 2000® Value Index** measures the performance of the small-cap value segment of the U.S. equity universe. It includes those Russell 2000 companies that are considered more value-oriented relative to the overall market as defined by Russell's leading style methodology. The Russell 2000® Value Index is constructed to provide a comprehensive and unbiased barometer for the small-cap value segment. The index is completely reconstituted annually to ensure larger stocks do not distort the performance and characteristics of the true small-cap opportunity set and that the represented companies continue to reflect value characteristics. Investors cannot invest directly in any index.

**NAV** is Net Asset Value. **FDIC** is Federal Deposit Insurance Corp. **YTD** is Year to Date. **CFA®** and **Chartered Financial Analyst®** are trademarks owned by CFA Institute.

Not FDIC-Insured. Not Bank-Guaranteed. May Lose Value.

 **BNY MELLON | INVESTMENT MANAGEMENT**

# BNY Mellon Small Cap Value Fund

Asset Allocation <sup>3</sup>		Top Ten Holdings <sup>3</sup>		Sector Allocation <sup>3,4</sup>		
Asset Type	Fund	Company	Fund	Sector	Fund	Index
Common Stock; Domestic	95.59%	UMB Financial	1.90%	Financials	22.67%	27.18%
Common Stock; Foreign	2.54%	Columbia Banking System	1.78%	Industrials	16.52%	14.14%
Preferred Stock (Non-Convertible); Domestic	0.51%	Encompass Health	1.76%	Energy	8.61%	9.17%
Short Term	1.33%	Texas Capital Bancshares	1.73%	Consumer Discretionary	8.54%	10.88%
Net Cash (Liabilities)	0.03%	Essent Group	1.71%	Health Care	8.38%	8.82%
		BWX Technologies	1.61%	Materials	8.08%	4.75%
		Webster Financial	1.60%	Information Technology	8.02%	5.91%
		Lions Gate Entertainment, Cl. A	1.53%	Real Estate	7.36%	10.38%
		Chesapeake Energy	1.45%	Communication Services	5.32%	2.54%
		KBR	1.42%	Utilities	3.83%	3.89%
		The holdings listed should not be considered recommendations to buy or sell a security. Large concentrations can increase share price volatility.		Consumer Staples	1.35%	2.34%
				Cash	1.33%	0.00%
				Totals may not add up to 100% due to rounding.		

**Portfolio Manager(s)**  
**Joseph M. Corrado, CFA**   **Andrew Leger**  
23 Years with the Fund   2 Years with the Fund

Investors should consider the investment objectives, risks, charges and expenses of a mutual fund carefully before investing. To obtain a prospectus, or a summary prospectus, if available, that contains this and other information about a fund, contact your financial professional. For more information, call 1-800-373-9387 or visit [im.bnymellon.com](https://im.bnymellon.com). Read the prospectus carefully before investing. Investors should discuss with their financial professional the eligibility requirements for Class I and Y shares, which are available only to certain eligible investors, and the historical results achieved by the fund's respective share classes.

<sup>3</sup>Portfolio composition is as of 12/31/2023 and is subject to change at any time. <sup>4</sup>Source: Newton.

**Risks:** **Equities** are subject to market, market sector, market liquidity, issuer, and investment style risks, among other factors, to varying degrees. **Small and midsize company stocks** tend to be more volatile and less liquid than larger company stocks as these companies are less established and have more volatile earnings histories.

The information being provided is general information about our firm and its products and services. It should not be construed as investment advice or a recommendation with respect to any product or service and should not serve as a primary basis for investment decisions. Please consult a legal, tax or financial professional in order to determine whether an investment product or service is appropriate for a particular situation.

The fund's investment adviser is BNY Mellon Investment Adviser, Inc. (BNYM Investment Adviser). BNYM Investment Adviser has engaged its affiliate, Newton Investment Management North America, LLC (NIMNA), to serve as the fund's sub-adviser. NIMNA has entered into a sub-sub investment advisory agreement with its affiliate, Newton Investment Management Limited (NIM), to enable NIM to provide certain advisory services to NIMNA for the benefit of the fund. All are subsidiaries of The Bank of New York Mellon Corporation. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation.

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