

BNY Mellon Investment Management

QUICK FACTS | DECEMBER 31, 2022

BNY Mellon Investment Management is a leading investment manager, with \$1.8 trillion* in assets under management as of December 31, 2022. Through an investor-first approach, the firm brings to clients the best of both worlds: specialist expertise from seven specialist investment managers offering solutions across every major asset class, backed by the strength, stability, and global presence of BNY Mellon.

BNY Mellon Investment Management is a division of BNY Mellon, which has \$44.3 trillion in assets under custody and/or administration as of December 31, 2022. BNY Mellon can act as a single point of contact for clients looking to create, trade, hold, manage, service, distribute or restructure investments. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation (NYSE: BK). Additional information is available on www.bnymellon.com. Follow us on Twitter @BNYMellon or visit our newsroom at www.bnymellon.com/newsroom for the latest company news.

\$1.8 trillion*

in assets under management

(BNY Mellon as of December 31, 2022)

*BNY Mellon as of December 31, 2022. Total assets under management (AUM) includes AUM attributable to the asset managers outlined in this file (with the exception of Siguler Guff) as well as BNY Mellon Investment Adviser, Inc, BNY Mellon Wealth Management and external data. Please note, AUM as of December 31, 2022 includes \$31.8bn AUM attributable to the Alcentra asset management and investment management group which is no longer an affiliate of BNY Mellon as of November 1, 2022.

With a global presence in...

Amsterdam	Hong Kong	Paris	São Paulo	Tokyo
Atlanta	London	Philadelphia	Seoul	Toronto
Boston	Los Angeles	Pittsburgh	Singapore	Zurich
Chicago	Luxembourg	Pune	Stockholm	
Dallas	Madrid	Rio de Janeiro	Sydney	
Denver	Miami	San Francisco	Taipei	
Dubai	Milan	Santiago		
Edinburgh	Moscow			
Frankfurt	New York			
Greenwich				

Not FDIC-Insured. Not Bank-Guaranteed. May Lose Value.

 BNY MELLON | INVESTMENT MANAGEMENT

Our Investment Managers

AUM in USD as of December 31, 2022. Siguler Guff data is estimated as of September 30, 2022.

Great minds don't think alike: the power of independent thinking.



\$7.7 billion

Brazilian equity; macro; corporate bonds and fixed income strategies.



\$366.3 billion

Leading liquidity solutions provider with a comprehensive array of cash and short duration strategies.¹



\$786.2 billion

Leader in global fixed income and risk management solutions



\$402.1 billion

A global leader in index management dedicated to precision and client partnership.¹



\$100.3 billion

Active equities, income, absolute return, multi-asset solutions, thematic and sustainable strategies.²

SIGULER GUFF

\$16.4 billion³

Multi-strategy private investments through direct investment and multi-manager funds.

WALTER SCOTT

\$74.0 billion

Global equity investment management.

¹Mellon Investments Corporation (MIC) is a registered investment adviser and subsidiary of The Bank of New York Mellon Corporation (BNY Mellon). MIC is composed of two divisions: Mellon, which specializes in index management, and Dreyfus, which specializes in cash management and short duration strategies. Dreyfus is also a division of BNY Mellon Investment Adviser, Inc. (BNYMIA), a registered investment adviser.

²'Newton' and/or the 'Newton Investment Management' is a corporate brand which refers to the following group of affiliated companies: Newton Investment Management Limited (NIM) and Newton Investment Management North America LLC (NIMNA). NIMNA was established in 2021 and is comprised of the equity and multi-asset teams from an affiliate, Mellon Investments Corporation.

³Siguler Guff's assets under management (AUM) are calculated based on the net asset value plus uncalled commitments for each active investment vehicle managed by the Firm. AUM as of September 30, 2022.

BNY Mellon owns a minority interest in Siguler Guff.

Investment Strategies

▲ Active | ▼ Index/Enhanced | ■ Fund-of-Funds

Equity

	ARX	Dreyfus ¹	Insight Investment	Mellon ¹	Newton Investment Management ²	Siguler Guff	Walter Scott
Asia Pacific (Ex. Japan)				▼	▲		
Brazil	▲						
Developed (Ex. U.S.)				▼	▲		▲
Emerging Markets	▲			▼	▲		▲
European			▼	▼	▲		▲
Global				▼	▲		▲
Japan				▼			
Real Estate Securities (REITs)			▲	▼			
Smart Beta Equity Strategies					▲		
U.K.				▼	▲		
U.S.				▼	▲		▲

Fixed Income

Asia Pacific				▼			
Cash Management (U.S., Euro, Sterling, Other)	▲	▲	▲				
Convertible Bonds			▲				
Core/Core Plus			▲	▼			
Corporate Credit	▲		▲	▼			
Direct Lending			▲				
Emerging Markets	▲		▲	▼			
European			▲	▼			
Global			▲	▼	▲		
Government/Sovereign Fixed Income			▲	▼	▲		
High Yield			▲		▲		
Inflation-Linked			▲	▼			
Long Duration			▲	▼			
Mortgages			▲	▼			
Municipal Bonds			▲				
Senior Secured Loans			▲				
Short/Intermediate Duration			▲	▼			
Structured Credit/ABS			▲	▼			
Tax-Sensitive			▲				
U.K.			▲	▼	▲		
U.S.			▲	▼			

Alternatives

Absolute Return	▲		▲		▲		
Global Macro			▲		▲		
Hedge Funds	▲		■		▲		
Infrastructure			▲		▲		
Market Neutral/Long-Short	▲		▲		▲		
Master Limited Partnerships							
Multi-Strategy	▲		■		▲	■	
Natural Resources/Commodities			▲		▲	■	
Portable Alpha			▲		▲		
Private Equity						■	
Private Real Estate			▲			■	

Solutions

Balanced/Multi-Asset Class	▲		▼	▼	▼	▲	
Dynamic Hedge Strategies (CPPI)			▲		▲		
FX/Currency Management			▲				
Liability-Driven Investing			▼				
Life Cycle/Target Date					▼		
Overlay/Futures/Swaps			▲	▼	▲		
Responsible Investing			▲		▲	▲	
Risk Parity					▼		
Thematic				▼	▲		

The investment strategies identified in this table may not be available in all products or services provided by the specialist manager, and may not be available to all investors. Please consult with your BNY Mellon representative or a financial professional for additional information on how a particular strategy is managed.

Please note: Not all strategies may be available to all investors in all markets.

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All investments involve risk including loss of principal. Certain investments involve greater or unique risks that should be considered along with the objectives, fees, and expenses before investing.

BNY Mellon Investment Management is one of the world's leading investment management organizations, encompassing BNY Mellon's affiliated investment management firms and global distribution companies. BNY Mellon is the corporate brand of The Bank of New York Mellon Corporation and may also be used as a generic term to reference the corporation as a whole or its various subsidiaries.

Products or services described herein are provided by The Bank of New York Mellon Corporation, its subsidiaries, affiliates or related companies, and may be provided in various countries by one or more of these companies where authorized and regulated as required within each jurisdiction. However, this material is not intended, nor should be construed, as an offer or solicitation of services or products or an endorsement thereof in any jurisdiction or in any circumstance that is otherwise unlawful or unauthorized. All investments involve risk, including the possible loss of principal. Certain investments involve greater or unique risks that should be considered along with the objectives, fees, and expenses before investing.

Unless otherwise noted, all references to assets under management (which are approximate) are as of December 31, 2022. In addition, assets under management (AUM)/overlay under management (OUM) for the following firms includes assets managed by the individual firms' officers as dual officers or employees of The Bank of New York Mellon: BNY Mellon Investment Adviser, Inc. and its Dreyfus division and Mellon Investments Corporation. Assets under management (AUM) for Mellon Investments Corporation (MIC) may include discretionary and non-discretionary assets, and assets managed by investment personnel acting in their capacity as officers of affiliated entities.

Mellon Investments Corporation's (MIC's) investment capabilities in fixed income, equities and multi-asset, and liquidity were realigned with Insight Investment (Insight), Newton Investment Management North America, LLC (NIMNA) and Dreyfus effective September 1, 2021. As part of this realignment, MIC's active fixed income capabilities transitioned to Insight, MIC's active equity and multi-asset capabilities transitioned to NIMNA, and MIC now operates its cash and liquidity business under the brand of Dreyfus. The Dreyfus assets are included in the GIPS firm definition effective September 1, 2021. MIC will continue to deliver institutional equity and fixed income index management as Mellon. Dreyfus and Mellon are divisions of MIC. There was no change to the firms' investment processes or philosophies during the transition period as a result of the change.

Personnel of certain of our BNY Mellon affiliates may act as: (i) registered representatives of BNY Mellon Securities Corporation (in its capacity as a registered broker-dealer) to offer securities and certain bank-maintained collective investment funds, (ii) officers of the Bank of New York Mellon (a New York chartered bank) to offer bank-maintained collective investment funds, and (iii) Associated Persons of BNY Mellon Securities Corporation (in its capacity as a registered investment adviser) to offer separately managed accounts managed by BNY Mellon Investment Management firms.

Dreyfus is a division of BNY Mellon Investment Adviser, Inc. and MIC. • Insight Investment advisory services in North America are provided through two different investment advisers registered with the Securities and Exchange Commission (SEC), using the brand Insight Investment: Insight North America LLC (INA) and Insight Investment International Limited (IIL). The North American investment advisers are associated with other global investment managers that also (individually and collectively) use the corporate brand Insight Investment and may be referred to as "Insight" or "Insight Investment." • "Newton" and/or the "Newton Investment Management" brand refers to Newton Investment Management Limited. Newton is incorporated in the United Kingdom and is authorized and regulated by the Financial Conduct Authority in the conduct of investment business. Newton is registered with the U.S. Securities and Exchange Commission (SEC) as an investment adviser. Newton is a subsidiary of The Bank of New York Mellon Corporation. • Walter Scott North America, a division of BNY Mellon Securities Corporation a registered broker dealer. • BNY Mellon owns a 20% interest in Siguler Guff & Company, LP and certain related entities (including Siguler Guff Advisers, LLC). • BNY Mellon Investor Solutions personnel may offer products and services in their capacity as employees and officers of The Bank of New York Mellon (the "Bank"), including investment strategies that are developed by affiliated BNY Mellon investment advisory firms and managed by officers of such firms acting in their capacities as dual officers of the Bank. • BNY Mellon ARX (ARX) is the brand used to describe the Brazilian investment capabilities of BNY Mellon ARX Investimentos Ltda. ARX is an affiliate of The Bank of New York Mellon Corporation.

Siguler Guff's assets under management are calculated based on the most recent quarter-end net asset value plus uncalled commitments for each active investment vehicle managed by the Firm.

Insight Investment International Limited, Newton Investment Management Limited and Walter Scott & Partners Limited are authorized and regulated by the Financial Conduct Authority. The registered address for Insight Investment International Limited and Newton is BNY Mellon Centre, 160 Queen Victoria Street, London, EC4V 4LA, England. The registered address for Walter Scott is One Charlotte Square, Edinburgh, EH2 4DR, Scotland.

Insight North America LLC (INA), is a registered investment adviser under the Investment Advisers Act of 1940 and regulated by the US Securities and Exchange Commission. Insight North America (INA) is part of 'Insight' or 'Insight Investment', the corporate brand for certain asset management companies operated by Insight Investment Management Limited including, among others, Insight Investment Management (Global) Limited (IIMG) and Insight Investment International Limited (IIL) and Insight Investment Management (Europe) Limited (IIMEL).

'Newton' and/or the 'Newton Investment Management' is a corporate brand which refers to the following group of affiliated companies: Newton Investment Management Limited (NIM) and Newton Investment Management North America LLC (NIMNA). In the United Kingdom, NIM is authorised and regulated by the Financial Conduct Authority ('FCA'), 12 Endeavour Square, London, E20 1JN, in the conduct of investment business. Registered in England no. 01371973. NIM and NIMNA are both registered as investment advisors with the Securities & Exchange Commission ('SEC') to offer investment advisory services in the United States. NIM's and NIMNA's investment businesses in the United States is described in their Form ADVs, Part 1 and 2, which can be obtained from the SEC.gov website or obtained upon request. Both firms are indirect subsidiaries of The Bank of New York Mellon Corporation ('BNY Mellon').

Newton's assets under management are calculated as at the most recent quarter end and include assets collectively managed by NIM and NIMNA. In addition, AUM for Newton includes assets of bank-maintained collective investment funds for which Newton has been appointed sub-advisor, where Newton personnel act as dual officers of affiliated companies and assets of wrap fee account(s) for which Newton provides sub-advisory services to the primary manager of the wrap program.

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