



BNY MELLON
INVESTMENT MANAGEMENT

IRA AUTOMATIC WITHDRAWAL PLAN FORM

(for IRA plan accounts only)

IMPORTANT INFORMATION - PLEASE READ

- Do not use this form for non-IRA accounts. For assistance in completing this form, please call 1-800-242-8671.
- If you would like to establish a Required Minimum Distribution (RMD) plan because you have attained aged 72, please complete the Required Minimum Distribution Plan Form.
- If you would like to take a one time or lump sum distribution, please complete the IRA Distribution Request Form.
- An IRA Automatic Withdrawal Plan may only be established on IRA plan accounts where the withdrawals would be considered qualified.
- A \$5,000 minimum balance is required to establish the IRA Automatic Withdrawal Plan. If shares are held in unsigned certificate form, certificates valued at a minimum of \$5,000 must be returned with this form.
- A separate form is required for each account.

For questions or assistance in completing this form, please call 1-800-242-8671.

1. ACCOUNT INFORMATION

OWNER'S NAME (First, Middle Initial, Last)

STREET ADDRESS

CITY

STATE

ZIP CODE

☐ Please check this box if you would like to update the address on your account to the above. Please note P.O. Boxes are not allowed. For additional information please call the number on your account statement.

DATE OF BIRTH (MO/DAY/YR)

SOCIAL SECURITY NUMBER

()

PHONE NUMBER

()

CELL PHONE NUMBER

2. INDIVIDUAL RETIREMENT ACCOUNT TYPE AND ACCOUNT NUMBER

Select the plan type and reference your account number from which you will receive your distributions.


Plan Type: ☐ TRADITIONAL (REGULAR) IRA ☐ ROLLOVER IRA ☐ ROTH IRA ☐ SEP IRA

Fund Account Number: _____

3. PURPOSE OF REQUEST

Check Only One:

☐ ESTABLISH a new automatic withdrawal plan.

If you check this box,  provide ONLY the information that is changing.

☐ CHANGE an existing automatic withdrawal plan.

4. AMOUNT OF PERIODIC DISTRIBUTIONS

Amount of withdrawal requested: \$ _____
(minimum of \$50)

OR

Number of shares to be withdrawn _____

5. PERIODIC DISTRIBUTIONS SCHEDULE

Complete this section to set up a regular schedule of distributions. **Please choose a date that has not already passed from the date we receive this document.**

Please make distributions on the following schedule:

Annually on _____ (MM/DD) Monthly on _____ (MM/DD) Semi-annually on _____ (MM/DD) & _____ (MM/DD)
Quarterly _____ (MM/DD) , _____ (MM/DD) , _____ (MM/DD) & _____ (MM/DD)

Dreyfus Money Market Fund investors only

A December payment of a periodic distribution you select above can only be processed between the 1st and 15th day of December. If you enter a day after the 15th of the month, your December distribution (if applicable) will be made on or about December 15th. Distributions in non-money market funds scheduled for December will be processed on the day you select above.

6. DISTRIBUTION INSTRUCTIONS

Option A: ☐ I want my distribution(s) credited to an existing non-retirement account.

Fund Account #: _____

(If you select this option, the distribution amount must meet the fund's required minimum for subsequent investments (usually \$100)). **If you are not a registered owner on the non-retirement account, a Medallion Signature Guarantee (see Section 9) is required.**

Option B: ☐ I want my distribution check(s) sent to the alternate payee address indicated below. **Please note: A Medallion Signature Guarantee* is required in this case. If alternate address is a bank, please include your bank account number below.**

Alternate Payee

Alternate Address (Street or PO Box)

(Apt. No.)

(City)

(State)

(Zip Code)

Bank Account Number

Option C (select one of the following):

PLEASE ATTACH VOIDED CHECK HERE.

☐ I want my distribution(s) sent by Automated Clearing House (ACH) to my bank. Attach a voided check from your bank account below. A Medallion Signature Guarantee* is required. Money will be transferred only to the bank account indicated on the voided check. Upon receipt of this form, BNY Mellon Transfer, Inc. (the "Transfer Agent") is authorized to credit my bank account indicated below using the ACH option.

☐ I want my distribution(s) sent by Automated Clearing House (ACH) to the bank account on file that has received previous distributions from BNY Mellon. **A Medallion Signature Guarantee* is not required.**

I understand that these services are governed by the prospectus provisions and the rules of the ACH. I further understand that either option may be terminated or modified at any time without notice by BNY Mellon Investment Adviser, Inc. or the Transfer Agent.

Option D: ☐ Please send my check to the current address of record on my account.

If you did NOT select one of the above-listed options, Option D will be used as the default method of distribution.

Please note: Requests for \$100,000 or more require a **Medallion Signature Guarantee.***

7. FEDERAL INCOME TAX WITHHOLDING - NON-ROTH IRA ONLY

The Bank of New York Mellon is required to withhold 10% of your Traditional IRA distributions for federal income tax purposes unless you elect otherwise below. If you elect not to have withholding apply to your distributions, or if you do not have enough federal income tax withheld from your distributions, you may be responsible for payment of estimated tax. You may incur penalties under the estimated tax rules if your withholding and estimated tax payments are not sufficient. If you do not elect out of withholding, **by checking the box below**, withholding will be based on the gross amount of your distributions even though a portion of your distributions may not be subject to tax (e.g., if you have made non-deductible contributions to your IRA).

- ☐ I **do** want income tax withholding applied to my IRA plan account distributions and understand that withholding will be applied at the rate of 10% unless a different withholding percentage is specified _____ % (minimum of 10%).
- ☐ I **do not** want income tax withholding applied to my IRA plan account distributions.

8. STATE INCOME TAX WITHHOLDING - NON-ROTH IRA ONLY

Depending on your state of residency, state income tax withholding may be required from your distributions. If applicable, you may elect a withholding rate that is above your state's minimum withholding rate. Certain states may permit you to elect to not have withholding apply. If a minimum withholding rate is required by your state, the custodian will withhold applicable state taxes regardless of your election below. The custodian does not withhold state taxes for all states. To the extent permitted by applicable state law, an election to not have federal income tax withheld will also apply to state income taxes. To review the impact of state withholding for your state of residence, please speak to your tax consultant.

- ☐ I **do** want state income tax withholding applied to my IRA plan account distributions at a rate of _____ %.
- ☐ I **do not** want state income tax withholding applied to my IRA plan account distributions.

9. SIGNATURE AND TAXPAYER IDENTIFICATION NUMBER CERTIFICATION

By signing below, you certify and agree that:

- You have received a current fund prospectus and agree to its terms.
- You appoint the Transfer Agent, and any successor named at a later time in the prospectus of the fund(s) in which you have invested, as the Transfer Agent for receipt of all dividends and distributions.

I acknowledge that mutual fund shares are not FDIC-insured. They are not bank deposits, bank obligations or bank-guaranteed. They pose investment risks, including the possible loss of principal.

Taxpayer Identification Number Certification: Under the penalties of perjury, I certify [1] that the Social Security Number or Taxpayer Identification Number shown in Section 1 of this form is my correct Taxpayer Identification Number, [2] that I am not subject to backup withholding either because: (a) I am exempt from backup withholding, or (b) I have not been notified that I am subject to backup withholding as a result of a failure to report all dividends, or the Internal Revenue Service has notified me that I am no longer subject to backup withholding, and [3] I am a U.S. person (including a U.S. resident alien) and [4] the Foreign Account Tax Compliance Act ("FATCA") code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. If you are exempt from FATCA reporting (if you are unsure, consult your tax advisor or the IRS), enter your exemption from FATCA reporting code (if any) here: _____. NOTE: Strike out item [2] if you have been notified that you are subject to backup withholding by the IRS and you have not received a notice from the IRS advising you that backup withholding has been terminated.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Your signature(s) must be guaranteed here as described below.

**A Medallion Signature Guarantee is a stamped or typewritten assurance from a financial institutional (guarantor) that a signature or endorsement is genuine. Please check with your financial institution to be certain their guarantee will meet the requirements stated below.*

BNY Mellon Transfer, Inc. (Transfer Agent) has adopted standards and procedures pursuant to which Medallion Signature Guarantees in proper form generally will be accepted from domestic banks, brokers, dealers, credit unions, national securities exchanges, registered securities associations, clearing agencies and savings associations participating in the New York Stock Exchange Medallion Signature Program (MSP), the Securities Transfer Agents Medallion Program (STAMP) and the Stock Exchanges Medallion Program (SEMP). Notarization by a Notary Public is not an acceptable guarantee.

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