DEFINITIONS AND USAGE OF FORMS

BNY Mellon Brokerage Account Forms

ACH Debit and Credit Authorization Agreement Form
Use this form to authorize an automatic transfer of money between your bank checking account and your Brokerage Account.

ACH Void Check Approval Form
This form is required to establish ACH (in addition to a Brokerage Investor Services form) so that we may obtain authorization of registrant(s) listed on your checking account that are not listed on your brokerage account.

Affidavit of Domicile Form
To show the residence of decedent at time of death. Affidavit of Domicile may be required when transferring the ownership of a security from a deceased person’s name.

Brokerage Account Linkage Form
To link two BNY Mellon Brokerage accounts together to waive certain fees (certain restrictions apply).

Combined Statement Mailing Form
To consolidate your brokerage statements that share the same mailing address and provides a complete, easy-to-read summary of all your accounts.

Corporate Resolution Certification
Used on business accounts to identify the authorized signers.

Direct Deposit Form
To have money deposited by your payroll company into your Brokerage Account.

Required Minimum Distribution Form
Request your required minimum distribution from your IRA Account.

Full Trading Authorization Form
Full trading authorization with privilege to withdraw money and/or securities.

Individual Transfer on Death Form
Establish a Transfer on Death (TOD) registration or update existing beneficiaries on an individual brokerage account.

Investor Services Form
Used to add additional features to your brokerage account such as margin, check writing, debit card or ACH/FED Wire.

IRA Beneficiary Designation Form (Brokerage)
To add or change beneficiaries on a retirement account.

IRA Checking Form
Used to add check writing to a retirement account (Age 59½ or above).
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**IRA Distribution Form**
Used to request a distribution from a retirement account.

**IRA Plan and Disclosure**
Used to inform client of rules and regulations of a retirement account.

**Irrevocable Stock or Bond Power Form**
Used to deposit a physical stock or bond certificate to your brokerage account.

**Joint Transfer on Death Form**
Establish a Transfer on Death (TOD) registration or update existing beneficiaries on a Joint Brokerage Account.

**BNY Mellon Account Limited Liability Company Resolution Certification Form**
Used for Limited Liability Company accounts for authorized signers to be able to act.

**Mail Loss Affidavit Form**
Used to report missing or lost securities that are transferred via mail.

**Multipurpose Certification Form**
This form is to be completed by corporations, partnerships, estates, or other entities to identify those authorized to make all transactions in the account.

**Name Change Form**
Change the registration on an existing individual and/or IRA account because of a legal name change.

**Partnership Account Form**
Used for Partnership accounts for authorized signers to be able to act.

**Portfolio Evaluation Form**
Used to update uncovered cost basis information on your brokerage account.

**Power of Attorney Form**
Use this form to certify the validity of a Power of Attorney’s information (requires a valid Durable Power of Attorney dated within 3 years attached to it.)

**Sweep Prospectus**
Legal and regulatory information on the sweeps available to a client.

**Third Party Release Form**
Complete this form if you would like to deposit a stock certificate in a name other than that on your Brokerage Account.

**Trust Account Certification Form**
Used to add authorized persons to a trust account and to certify the trust powers.

**Limited Trading Authorization Form**
Gives an individual the ability to make trades only on your behalf.